

Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

OCT 29 2004

Complete if Known

Application Number	10/806,311
Filing Date	March 22, 2004
First Named Inventor	Ogle
Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	3126.01US03

1 of 4

U.S. PATENT DOCUMENTS

EXAMINER INITIAL [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code ² (if known)		
VJ		US- 4,429,939	12/27/1983	Sharp et al.
		US- 4,512,838	04/1989	Balko et al.
		US- 4,550,126	10/29/1985	Lorenz
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		US- 4,817,600	04/04/1989	Herms et al.
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		US- 5,059,205	10/22/1991	El-Nounou et al.
		US- 5,200,248	04/06/1993	Thompson et al.
		US- 5,350,398	09/27/1994	Pavenik et al.
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		US- 5,704,910	01/06/1998	Humes
		US- 5,782,791	07/21/1998	Peterson et al.
		US- 5,807,306	09/1998	Shapland et al.
6		US- 5,836,868	11/17/1998	Ressemann et al.
		US- 5,911,704	06/15/1999	Humes
		US- 5,914,125	06/22/1999	Andrews et al.

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			

EXAMINER
SIGNATURE

Nina Victor

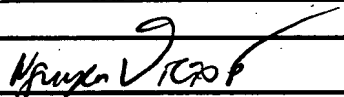
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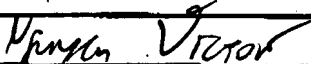
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				Examiner Name	Unknown
Sheet	2	of	4	Attorney Docket Number	3126.01US03
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code ² (if known)			
UP		US- 5,919,145	07/1999	Sahatjian	
		US- 5,938,645	08/17/1999	Gordon	
		US- 5,977,429	11/02/1999	Phillips et al.	
		US- 6,066,149	05/23/2000	Samson et al.	
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		US- 6,103,376	08/15/2000	Phillips et al.	
		US- 6,123,681	09/26/2000	Brown, III	
		US- 6,160,084	12/12/2000	Langer et al.	
		US- 6,231,589	05/15/2001	Wessman et al.	
		US- 6,245,088	06/12/2001	Lowery	
		US- 6,254,563	07/03/2001	Macoviak et al.	
		US- 6,267,776	07/31/2001	O'Connell	
		US- 6,273,901	08/14/2001	Whitcher et al.	
		US- 6,306,163	10/23/2001	Fritz	
		US- 6,346,116	02/12/2002	Brooks et al.	
		US- 6,364,895	04/02/2002	Greenhalgh	
		US- 6,364,896	04/02/2002	Addis	
		US- 6,371,970	04/16/2002	Khosravi et al.	
		US- 6,391,044	05/21/2002	Yadav et al.	
	FOREIGN PATENT DOCUMENTS				
EXAMINER INITIAL [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			
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				Art Unit	3731
				Examiner Name	Unknown
Sheet	3	of	4	Attorney Docket Number	3126.01US03
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code ² (if known)			
		US- 6,391,300	05/21/2002	Rose et al.	
		US- 6,491,965	12/10/2002	Berry et al.	
		US- 6,605,111	08/2003	Bose et al.	
		US- 20020072550	06/13/2002	Brady et al.	
		US- 10/414,909	04/16/2003	Ogle	
		US- 10/781,503	02/18/2004	Ogle et al.	
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						First Named Inventor	Ogle
						Art Unit	3731
						Examiner Name	Unknown
Sheet	4	of	4	Attorney Docket Number	3126.01US03		
NON PATENT LITERATURE DOCUMENTS							
EXAMINER INITIAL*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					T ²
UR		FASSEAS et al., "Distal protection devices during percutaneous coronary and carotid interventions," current Controlled Trials in Cardiovascular Medicine, Vol. 2, No. 6, December 2002, 5 pages.					
		"Smart suture is first application of novel MIT polymer," from website http://web/mit.edu/newsoffice/nr/2002/langer-suture.html , April 25, 2002, 3 pages.					
		REICHENSPURNER et al., "Particulate emboli capture by an intra-aortic filter device during cardia surgery," The Journal of Thoracic & Cardiovascular Surgery, Vol., 119(2), February 2000, pages 233-241.					
		HARRINGER et al., "Capture of particulate emboli during cardiac procedures in which aortic cross-clamp is used," The Society of Thoracic Surgeons, Vol. 70, 2000, pages 1119-1123.					
EXAMINER SIGNATURE	[Signature]				DATE CONSIDERED	8/10/07	
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</p>							
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				Filing Date	March 22, 2004	
				First Named Inventor	Ogle	
				Art Unit	3731	
Sheet 1 of 1				Examiner Name	Nguyen, V.	
				Attorney Docket Number	3126.01US03	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		Number-Kind Code ² (if known)				
✓ 1 0		US-5,720,764	02-24-1998	Naderlinger		
		US-5,935,139	08-10-1999	Bates		
		US-6,238,412	05-29-2001	Dubrul et al.		
		US-6,514,273	02-04-2003	Voss et al.		
		US-6,610,077	08-26-2003	Hancock et al.		
		US-2005/0021075	01-27-2005	Bonnette et al.		
		US-7,052,500	05-30-2006	Bashiri et al.		
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T ⁴
		Country Code ³ Number ³ Kind Code ³ (if known)				
✓		WO 02/055146	07-18-2002	Rosenbluth et al.		
EXAMINER SIGNATURE				DATE CONSIDERED		8/10/07
<p><small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with oral communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 10 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</small></p>						

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